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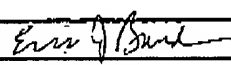
PTO/SB/21 (03-03)

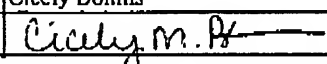
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<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/730,680
	Filing Date	December 8, 2003
	First Named Inventor	Nichole Barvian, et al.
	Art Unit	Not Yet Known
	Examiner Name	Not Yet Known
Total Number of Pages In This Submission	Attorney Docket Number	PC 20508A-EJB

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement as Provided by 37 CFR 1.97(b) Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Eric J. Baude #47,413	
Signature		
Date	May 20, 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 05/20/2004		
Typed or printed	Cicely Bohms	
Signature		Date May 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**MAY 20 2004**

**OFFICIAL**

Application No.: 10/730,680  
Applicant: Nicole Barvian, et al  
Filed: December 8, 2003  
Title: BENZOXAZIN-3-ONES AND DERIVATIVES THEREOF AS  
THERAPEUTIC AGENTS

TC/A.U.: TBD  
Examiner: TBD

Docket No.: PC20508A

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R.  
§1.97(b))**

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided except for issued United States patents or published patent applications.

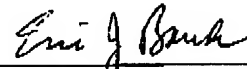
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: May 20, 2004



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/730680
Filing Date	December 8, 2003
First Named Inventor	Nicole Barvian, et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	PC 20508A

Sheet

1

of

2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Chemical Abstract AN: 2003:1957877 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2003:1940777 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2003:1940509 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2003:1940371 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:2995642 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:2977312 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:2647933 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:2272781 CHEMCATS, PD: July 9, 2002	
		Chemical Abstract AN: 2002:1467769 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1464450 CHEMCATS, PD: January 17, 2002	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.86. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT**

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Application Number	10/730680
Filing Date	December 8, 2003
First Named Inventor	Nicole Barvian, et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	PC 20508A

Sheet	2	of	2
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Chemical Abstract AN: 2002:1461688 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1460415 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1458784 CHEMCATS, PD: January 17, 2002	
		Chemical Abstract AN: 2002:1455387 CHEMCATS, PD: January 17, 2002	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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